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123003

U.S. PTO

PTO/SB/05 (06-03)

Approved for use through 04/30/2003. OMB 0651-0032  
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**UTILITY****PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2003 P 54309 US

First Inventor

Li

Title

Transistor with Silicon and Carbon Layer  
in the Channel Region

Express Mail Label No.

EV 396902166 US

10/748995  
17-021 S.P.T.

123003

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 26]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (*if filed*)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 3]  
5. Oath or Declaration [Total Sheets 3]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 name in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:  
Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)  
 a.  Computer Readable Form (CRF)  
 b.  Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of  
(*when there is an assignee*)  Attorney

11.  English Translation Document (*if applicable*)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations

13.  Preliminary Amendment

14.  Return Receipt Postcards (2) (MPEP 503)  
(*Should be specifically itemized*)

15.  Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS** Customer Number

25962

OR  Correspondence address below

Name	Slater & Matsil, L.L.P.				
Address	17950 Preston Rd.				
	Suite 1000				
City	Dallas	State	Texas	Zip Code	75252-5793
Country	USA	Telephone	972-732-1001	Fax	972-732-9218

Name (Print/type)	Kay Houston	Registration No. (Attorney/Agent)	38,495
Signature			Date December 30, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND T : Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Li Docket No.: 2003 P 54309 US

Serial No.: TBD Art Unit: TBD

Filed: HEREWITH Examiner: TBD

For: Transistor with Silicon and Carbon Layer in the Channel Region

**Certificate of Mailing via Express Mail**

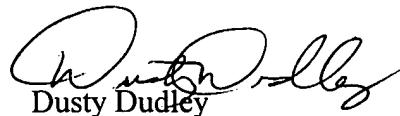
**EXPRESS MAIL** mailing label number: EV 396902166 US

Date of Deposit: December 30, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)  
Utility Patent Application Transmittal (1 page)  
Fee Transmittal (1 original and 1 copy = 2 pages)  
Complete Copy of Patent Application (29 pages), to include:  
    Specification (26 pages)  
    Formal Drawings (3 pages)  
Declaration and Power of Attorney (3 pages)  
Assignment Recordation Cover Sheet (1 page)  
Copy of Original Signed Assignment (2 pages)  
Information Disclosure Statement (1 page)  
Form PTO/SB/08A (1 page) with one reference attached  
Return Postcards (2)

Respectfully submitted,

  
Dusty Dudley  
Legal Assistant

Slater & Matsil, L.L.P.  
17950 Preston Rd., Suite 1000  
Dallas, Texas 75252-5793  
Tel: 972-732-1001  
Fax: 972-732-9218